

**Summary**  
**Board Bill Number 71**  
**Introduced by Alderwoman Christine Ingrassia**  
**July 8, 2022**

This Board Bill authorizes the City of St. Louis Department of Health (DOH) to accept a grant from the Substance Abuse and Mental Health Services Administration (SAMHSA)(CFDA Number: 93.243)(Award No. 1H79SM080544-01), known as the Law Enforcement and Behavioral Health Partnership: STL CARES (Community Assessment and Referral for Enduring Stability) project grant in the total amount of One Million Six Hundred Forty Eight Thousand Six Hundred Twenty Seven Dollars (\$1,648,627) over five years, to enable early diversion of individuals with Serious Mental Illness (SMI) and Co-Occurring Disorders (COD) from potential arrest using targeted outreach and behavioral health co-response, coupled with law enforcement personnel training in mental health and trauma-informed response and other grant purposes; authorizing the expenditure of grant funds by entering into contracts or otherwise for the grant purposes.

**BOARD BILL NUMBER 71 INTRODUCED BY ALDERWOMAN CHRISTINE  
INGRASSIA**

1 An ordinance recommended by the Board of Estimate and Apportionment authorizing the City of  
2 St. Louis Department of Health (DOH) to accept a grant from the Substance Abuse and Mental  
3 Health Services Administration (SAMHSA)(CFDA Number: 93.243)(Award No.  
4 1H79SM080544-01), known as the Law Enforcement and Behavioral Health Partnership: STL  
5 CARES (Community Assessment and Referral for Enduring Stability) project grant, to enable  
6 early diversion of individuals with Serious Mental Illness (SMI) and Co-Occurring Disorders  
7 (COD) from potential arrest using targeted outreach and behavioral health co-response, coupled  
8 with law enforcement personnel training in mental health and trauma-informed response;  
9 authorizing the expenditure of grant funds by entering into contracts or otherwise for the grant  
10 purposes; and containing an emergency clause.

11 **WHEREAS**, Missouri has one of the highest incarceration rates in the country, and the  
12 City of St. Louis has the state's highest incarceration rate and longest duration of prison  
13 sentences among all counties, representing 5% of the state's population, but almost 14% of the  
14 state's incarcerated population; and

15 **WHEREAS**, conservative estimates are that over 18% of defendants assessed at the City  
16 of St. Louis Division of Corrections in 2016 had an SMI; those with less severe conditions are  
17 uncounted, and assessments are not conducted for all defendants; and

18 **WHEREAS**, while violent crime rates are high in St. Louis, the vast majority of offenses  
19 and arrests are for low-level, quality of life crimes; and

20 **WHEREAS**, many individuals with SMI or COD experience an exacerbation in  
21 psychiatric symptoms, become estranged to family, lose their usual means of financial and social  
22 support, and eventually become entrenched in homelessness, often invisible to the overwhelmed

1 social service provider’s network. As behaviors become focused on survival needs or satisfying  
2 addictions, mentally ill persons are frequently arrested for shoplifting, aggressive panhandling,  
3 and an abundance of other nuisance crimes. Although their crimes are often petty, offenders  
4 commit these crimes so frequently that their potential jail time compounds exponentially over  
5 time; and

6       **WHEREAS**, the core of this effort includes Behavioral Health Network (BHN), which  
7 leads region-wide behavioral health system planning and coordination, encompassing the full  
8 spectrum of behavioral health services and supports across the lifespan with emphasis on the  
9 uninsured, underinsured and underserved populations in Missouri’s eastern region, with a  
10 collaborative effort of over 36 stakeholder entities —providers, advocacy organizations,  
11 government leaders, consumers, and community members—dedicated to developing an  
12 accessible and coordinated system of behavioral healthcare throughout the eastern region of  
13 Missouri; Integrated Health Network (IHN) coordinates a well-established Health and Social  
14 Service Network (HSSN), composed of behavioral health, criminal justice and wraparound  
15 support organizations equipped to meet the complex needs of individuals at high risk for  
16 interacting with the criminal justice; and the St. Louis Metropolitan Police Department, and in  
17 particular trained Crisis Intervention Team (CIT) officers will be working together in  
18 coordinated co-response to deflect individuals from arrest and incarceration to treatment and  
19 services where appropriate; and

20       **WHEREAS**, STL CARES will: (1) deliver training in trauma-informed response, Mental  
21 Health First Aid for Public Safety and Veterans CIT to a critical mass of law enforcement  
22 officers; (2) strengthen protocols and clarify coordination across law enforcement and behavioral  
23 health providers to engage individuals with SMI or COD in ongoing wraparound services, case

1 management and recovery support; and (3) integrate project activities with the city’s housing and  
2 homelessness initiatives to promote the health and wellness of individuals with SMI/COD whose  
3 conditions are complicated by homelessness; and

4 **WHEREAS**, the City of St. Louis has received a Notice of Award from SAMHSA for  
5 five years from September 30, 2020 to September 29, 2025 with year one funding of Three  
6 Hundred Twenty Nine Thousand Nine Hundred Twenty Nine Dollars (\$329,929); with year two  
7 funding of Three Hundred Twenty Nine Thousand Nine Hundred Sixty Dollars (\$329,960); with  
8 year three funding of Three Hundred Twenty Nine Thousand Three Hundred Eighty Nine  
9 Dollars (\$329,389); with year four funding of Three Hundred Twenty Nine Thousand Nine  
10 Hundred Sixty Dollars (\$329,960); and with year five funding of Three Hundred Twenty Nine  
11 Thousand Three Hundred Eighty Nine Dollars (\$329,389), subject to funding, for a total award  
12 of up to One Million Six Hundred Forty Eight Thousand Six Hundred Twenty Seven Dollars  
13 (\$1,648,627); a local in-kind match obligation is required of up to One Hundred Thirty One  
14 Thousand Nine Hundred Fifty Five Dollars (\$131,955) per year, which is hereby appropriated  
15 from Director of Health’s Budget 1110-7000010-5659000; and

16 **WHEREAS**, the Law Enforcement and Behavioral Health Partnership: STL CARES  
17 (Community Assessment and Referral for Enduring Stability) project grant will enable early  
18 diversion of individuals with Serious Mental Illness (SMI) and Co-Occurring Disorders (COD)  
19 from potential arrest using targeted outreach and behavioral health co-response, coupled with  
20 law enforcement personnel training in mental health and trauma-informed response.

21 **BE IT ORDAINED BY THE CITY OF ST. LOUIS AS FOLLOWS:**

22 **SECTION ONE.** The Director of the City of St. Louis Department of Health is  
23 hereby authorized, on behalf of the City of St. Louis, upon approval of the Board of Estimate and

1 Apportionment, to accept the grant from the Substance Abuse and Mental Health Services  
2 Administration (SAMHSA)(CFDA Number: 93.243)(Award No. 1H79SM080544-01), known as  
3 the Law Enforcement and Behavioral Health Partnership: STL CARES (Community Assessment  
4 and Referral for Enduring Stability) project (**See Attachment A**), to enable early diversion of  
5 individuals with Serious Mental Illness (SMI) and Co-Occurring Disorders (COD) from potential  
6 arrest using targeted outreach and behavioral health co-response, coupled with law enforcement  
7 personnel training in mental health and trauma-informed response, hereby appropriating the  
8 grant funds, and to expend funds by entering into contracts or otherwise for the grant purposes.

9 **SECTION TWO.** A local in-kind match obligation is required of up to One Hundred  
10 Thirty One Thousand Nine Hundred Fifty Five Dollars (\$131,955) per year, which is hereby  
11 appropriated from Director of Health’s Budget 1110-7000010-5659000.

12 **SECTION THREE.** Emergency Clause. This being an ordinance for the preservation of  
13 the public peace, health and safety, it is hereby declared to be an emergency measure within the  
14 meaning of Sections 19 & 20 of Article IV of the Charter of the City of St. Louis, and therefore,  
15 this ordinance shall become effective immediately upon its passage and approval by the Mayor.

**Board Bill Number 71**  
**Attachment A**



LYDA KREWSON  
*Mayor*



*City of St. Louis*  
**Department of Health**



FREDRICK L. ECHOLS, MD  
*Acting Director of Health*

December 3, 2020

Roxanne Castaneda, Program Official  
Darrell Russ, Grants Specialist  
Early Diversion  
Department of Health and Human Services  
Substance Abuse and Mental Health Services Administration (SAMHSA)

Post-Award Amendment

Dear Ms. Castaneda and Mr. Russ,

The City of St. Louis is pleased to accept the award for the project titled, "Law Enforcement and Behavioral Health Partnership: STL CARES (Community Assessment and Referral for Enduring Stability)" identified with grant number 1H79SM080544-01. We respectfully request SAMHSA's approval for a post-award amendment to change the Organization Name (DUNS) for this award from City of St. Louis Human Services Department (DUNS 620680223; IPF 10049476) to **City of St. Louis Department of Health (DUNS 135747843; IPF 10025732)**. The EIN for both city departments is the same (43-6003231).

We are making this request to allow the SAMHSA award to be operated by the Department of Health, because the original Authorized Official at the Department of Human Services (Irene Agustin) is no longer employed by the City of St. Louis. This requested change will allow us to have appropriate separation of duties and assigned roles in eRA Commons per the Notice of Award conditions.

Sincerely,

Business Official Signature  
Fredrick Echols, M.D.  
Acting Director of Health  
City of St. Louis  
1520 Market Street  
St. Louis, MO 63103-2613

12/3/20  
Date Signed

**Board Bill Number 71**  
**Attachment A**



Department of Health and Human Services  
 Substance Abuse and Mental Health Services Administration  
 Center for Mental Health Services

**Notice of Award**  
 FAIN# H79SM080544  
 Federal Award Date  
 10/28/2020

Recipient Information	Federal Award Information																										
<p><b>1. Recipient Name</b>                      ST LOUIS, CITY OF                      1520 MARKET ST RM 4065                       SAINT LOUIS, MO 63103</p> <p><b>2. Congressional District of Recipient</b>                      01</p> <p><b>3. Payment System Identifier (ID)</b>                      143600323189</p> <p><b>4. Employer Identification Number (EIN)</b>                      436003231</p> <p><b>5. Data Universal Numbering System (DUNS)</b>                      620680223</p> <p><b>6. Recipient's Unique Entity Identifier</b></p> <p><b>7. Project Director or Principal Investigator</b>                      Irene Agustin                       agustini@stlouis-mo.gov                      (314)657-1652</p> <p><b>8. Authorized Official</b>                      Ms. Irene Agustin                      agustini@stlouis-mo.gov                      (314) 657-1652</p>	<p><b>11. Award Number</b>                      6H79SM080544-01M001</p> <p><b>12. Unique Federal Award Identification Number (FAIN)</b>                      H79SM080544</p> <p><b>13. Statutory Authority</b>                      Sec 520G PHS Act, Sec 9002 21st Century Cures Act, PL 114-255</p> <p><b>14. Federal Award Project Title</b>                      Law Enforcement and Behavioral Health Partnership: STL CARES (Community Assessment and Referral for Enduring Stability)</p> <p><b>15. Assistance Listing Number</b>                      93.243</p> <p><b>16. Assistance Listing Program Title</b>                      Substance Abuse and Mental Health Services_Protocols of Regional and National Significance</p> <p><b>17. Award Action Type</b>                      Amendment</p> <p><b>18. Is the Award R&amp;D?</b>                      No</p>																										
<p><b>Federal Agency Information</b></p> <p><b>9. Awarding Agency Contact Information</b>                      Darrell Russ                      Grants Management Specialist                      Center for Mental Health Services                      darrell.russ@samhsa.hhs.gov                      (240) 276-1517</p> <p><b>10. Program Official Contact Information</b>                      Roxanne Castaneda                       Center for Mental Health Services                      Roxanne.Castaneda@samhsa.hhs.gov                      (240) 276-1917</p>	<table border="1"> <thead> <tr> <th colspan="2" style="background-color: #e1eef6;">Summary Federal Award Financial Information</th> </tr> </thead> <tbody> <tr> <td colspan="2"><b>19. Budget Period Start Date 09/30/2020 – End Date 09/29/2021</b></td> </tr> <tr> <td><b>20. Total Amount of Federal Funds Obligated by this Action</b></td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>    20a. Direct Cost Amount</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>    20b. Indirect Cost Amount</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td><b>21. Authorized Carryover</b></td> <td style="text-align: right;">\$0</td> </tr> <tr> <td><b>22. Offset</b></td> <td style="text-align: right;">\$0</td> </tr> <tr> <td><b>23. Total Amount of Federal Funds Obligated this budget period</b></td> <td style="text-align: right;">\$329,929</td> </tr> <tr> <td><b>24. Total Approved Cost Sharing or Matching, where applicable</b></td> <td style="text-align: right;">\$131,955</td> </tr> <tr> <td><b>25. Total Federal and Non-Federal Approved this Budget Period</b></td> <td style="text-align: right;">\$461,884</td> </tr> <tr> <td colspan="2"><hr/></td> </tr> <tr> <td colspan="2"><b>26. Project Period Start Date 09/30/2020 – End Date 09/29/2025</b></td> </tr> <tr> <td><b>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</b></td> <td style="text-align: right;">\$461,884</td> </tr> </tbody> </table> <p><b>28. Authorized Treatment of Program Income</b>                      Additional Costs</p> <p><b>29. Grants Management Officer - Signature</b>                      Darrell Russ</p>	Summary Federal Award Financial Information		<b>19. Budget Period Start Date 09/30/2020 – End Date 09/29/2021</b>		<b>20. Total Amount of Federal Funds Obligated by this Action</b>	\$0	20a. Direct Cost Amount	\$0	20b. Indirect Cost Amount	\$0	<b>21. Authorized Carryover</b>	\$0	<b>22. Offset</b>	\$0	<b>23. Total Amount of Federal Funds Obligated this budget period</b>	\$329,929	<b>24. Total Approved Cost Sharing or Matching, where applicable</b>	\$131,955	<b>25. Total Federal and Non-Federal Approved this Budget Period</b>	\$461,884	<hr/>		<b>26. Project Period Start Date 09/30/2020 – End Date 09/29/2025</b>		<b>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</b>	\$461,884
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<p><b>30. Remarks</b>                      Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.</p>																											

**Board Bill Number 71**  
**Attachment A**



Early Diversion  
Department of Health and Human Services  
Substance Abuse and Mental Health Services Administration  
Center for Mental Health Services

Notice of Award

**Issue Date:** 09/09/2020

**Grant Number:** 1H79SM080544-01  
**FAIN:** H79SM080544  
**Program Director:** Irene Agustin

**Project Title:** Law Enforcement and Behavioral Health Partnership: STL CARES (Community Assessment and Referral for Enduring Stability)

**Organization Name:** CITY OF ST. LOUIS HUMAN SERVICES DEPARTMENT

**Business Official:** Ms. Irene Agustin

**Business Official e-mail address:** agustini@stlouis-mo.gov

**Budget Period:** 09/30/2020 – 09/29/2021  
**Project Period:** 09/30/2020 – 09/29/2025

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$329,929 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to CITY OF ST. LOUIS HUMAN SERVICES DEPARTMENT in support of the above referenced project. This award is pursuant to the authority of Sec 520G PHS Act, Sec 9002 21st Century Cures Act, PL 114-255 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at [www.samhsa.gov](http://www.samhsa.gov) (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,  
Eileen Bermudez  
Grants Management Officer  
Division of Grants Management

See additional information below



**Board Bill Number 71  
Attachment A**

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**SECTION I – AWARD DATA – 1H79SM080544-01**

**Award Calculation (U.S. Dollars)**

<b>Other</b>	\$329,929
<b>Direct Cost</b>	\$329,929
<b>Approved Budget</b>	\$461,884
<b>Federal Share</b>	\$329,929
<b>Non-Federal Share</b>	\$131,955
<b>Cumulative Prior Awards for this Budget Period</b>	\$0
<b>AMOUNT OF THIS ACTION (FEDERAL SHARE)</b>	<b>\$329,929</b>

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$329,929
2	\$329,960
3	\$329,389
4	\$329,960
5	\$329,389

\*Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

**Fiscal Information:**  
**CFDA Number:** 93.243  
**EIN:** 1436003231B9  
**Document Number:** 20SM80544A  
**Fiscal Year:** 2020

<b>IC</b>	<b>CAN</b>	<b>Amount</b>
SM	C96J396	\$329,929

IC	CAN	2020	2021	2022	2023	2024
SM	C96J396	\$329,929	\$329,960	\$329,389	\$329,960	\$329,389

**SM Administrative Data:**  
**PCC:** BHP-ED / OC: 4145

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**SECTION II – PAYMENT/HOTLINE INFORMATION – 1H79SM080544-01**

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

# Board Bill Number 71

## Attachment A

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### SECTION III – TERMS AND CONDITIONS – 1H79SM080544-01

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

#### **Treatment of Program Income:**

Use of program income – Additive: Recipients will add program income to funds committed to the project to further eligible project objectives. Sub-recipients that are for-profit commercial organizations under the same award must use the deductive alternative and reduce their subaward by the amount of program income earned.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

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### SECTION IV – SM Special Terms and Conditions – 1H79SM080544-01

#### **REMARKS**

##### **New Award**

**1. This Notice of Award (NoA) is issued to inform your organization that the application submitted through the funding opportunity SM 18 005 has been selected for funding.**

**1a)** This award reflects conditional approval of the budget submitted *March 5, 2018* as part of the application by your organization.

**2. Recipients are expected to plan their work to ensure that funds are expended within the 12-month budget period reflected on this Notice of Award.** If activities proposed in the approved budget cannot be completed within the current budget period, SAMHSA cannot guarantee the approval of any request for carryover of remaining unobligated funding.

**3. All responses to award terms and conditions and post award amendment requests must be submitted as .pdf documents in eRA Commons.** For more information on how to respond to tracked terms and conditions or how to submit a post award amendment request please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading Grant Management Reference Materials for Grantees.

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**4. Register Program Director/Project Director (PD) in eRA Commons:**

If you have not already done so, you must register the PD listed on the HHS Checklist in eRA Commons to assign a Commons ID. Once the PD has received their Commons ID, please send this information to your Grants Management Specialist. You can find additional information about the eRA Commons registration process at [https://era.nih.gov/reg\\_accounts/register\\_commons.cfm](https://era.nih.gov/reg_accounts/register_commons.cfm).

**5. Key Staff**

Key staff (or key staff positions, if staff has not been selected) are listed below:

*TBD, Project Director @ TBD level of effort*

*TBD, Evaluator @ TBA level of effort*

***Organizations receiving Federal Funds may not exceed 100% level of effort for any program staff member (Key Staff or otherwise) across all federally funded sources.***

Any changes to key staff—including level of effort involving separation from the project for more than three months or a 25 percent reduction in time dedicated to the project—requires prior approval and must be submitted as a post-award amendment in eRA Commons.

For additional information on how to submit a post-award amendment, please visit the SAMHSA website: <https://www.samhsa.gov/grants/grants-management/post-award-changes>. Any technical questions regarding the submission process should be directed to the eRA Service Desk: <http://grants.nih.gov/support/>.

**SPECIAL TERMS**

**SPARS**

All SAMHSA recipients are required to collect and report certain data so that SAMHSA can meet its obligations under the Government Performance and Results Act (GPRA) Modernization Act of 2010. These data are gathered using SAMHSA's Performance and Accountability Reporting System (SPARS). Early Diversion recipients are required to:

(1) complete SPARS Annual Goals training and enter annual goals data into SPARS by November 30, 2020;

(2) begin entering data into SPARS during the second (January - March 2021) and quarterly thereafter. Training and technical assistance will be provided post-award.

**Risk Assessment**

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The Office of Financial Advisory Services (OFAS), SAMHSA may perform an administrative review of your organization's financial management system. If the review discloses material weaknesses or other financial management concerns, grant funding may be restricted in accordance with 45 CFR 75/2 CFR 200, as applicable. The restriction will affect your organization's ability to withdraw funds from the Payment Management System account, until the concerns are addressed.

### Disparity Impact Statement (DIS)

By **November 30, 2020** submit via **eRA Commons**.

The DIS should be consistent with information in your application regarding access, \*service use and outcomes for the program and include three components as described below. Questions about the DIS should be directed to your GPO. Examples of DIS can be found on the SAMHSA website at: <https://www.samhsa.gov/grants/grants-management/disparity-impact-statement>

\*Service use is inclusive of treatment services, prevention services as well as outreach, engagement, training, and/or technical assistance activities.

The disparity impact statement consists of three components:

1. Proposed number of individuals to be served and/or reached by subpopulations in the grant implementation area should be provided in a table that covers the entire grant period. The disparate population(s) should be identified in a narrative that includes a description of the population and rationale for how the determination was made.

2. A quality improvement plan for how you will use your program (GPRA) data on access, use and outcomes to monitor and manage program outcomes by race, ethnicity and LGBT status, when possible. The quality improvement plan should include strategies for how processes and/or programmatic adjustments will support efforts to reduce disparities for the identified sub-populations.

3. The quality improvement plan should include methods for the development and implementation of policies and procedures to ensure adherence to the Enhanced Culturally and Linguistically Appropriate Services (CLAS) Standards and the provision of effective care and services that are responsive to:

- a. Diverse cultural health beliefs and practices;
- b. Preferred languages; and
- c. Health literacy and other communication needs of all sub-populations within the proposed geographic region.

**All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons.** For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions**.



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**SPECIAL CONDITIONS**

**Marijuana Special Condition (States)**

By no later than **October 30, 2020**, please submit an attestation statement confirming compliance with the language below.

For state grantees, language in attestation should read, "I certify that all sub recipients comply with the following language:  
*Grant funds may not be used, directly or indirectly, to purchase, prescribe, or provide marijuana or treatment using marijuana. Treatment in this context includes the treatment of opioid use disorder. Grant funds also cannot be provided to any individual who or organization that provides or permits marijuana use for the purposes of treating substance use or mental disorders. See, e.g., 45 C.F.R. § 75.300(a) (requiring HHS to "ensure that Federal funding is expended . . . in full accordance with U.S. statutory . . . requirements."); 21 U.S.C. §§ 812(c)(10) and 841 (prohibiting the possession, manufacture, sale, purchase or distribution of marijuana). This prohibition does not apply to those providing such treatment in the context of clinical research permitted by the DEA and under an FDA-approved investigational new drug application where the article being evaluated is marijuana or a constituent thereof that is otherwise a banned controlled substance under federal law.*

*The attestation statement must be on letterhead and signed by the **Authorized Representative or Business Official**.*

Please email any related questions to [MJQuestions@SAMHSA.HHS.GOV](mailto:MJQuestions@SAMHSA.HHS.GOV)

**All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons.** For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions.**

**All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.**

**Revised Budget Narrative/Justification and SF 424A**

By **October 30, 2020** the recipient must submit a revised budget and SF 424A to reflect the following:

1. Irene Agustin cannot be the AO, BO, BO. Submit revised FSF 424 Face Page and HHS 5161 Checklist.
2. Travel: Grantee Meeting needs to be reallocated. Now held virtually.
3. Local trainings need to be broken out to amount of people and cost per person) The Local travel reimbursement is requested to facilitate professional development and training for staff assigned to this project, as well as for mileage costs of meeting planning and coordination with partner entities. These three travel items must be separated and a breakdown of each to include the number of each. Plus there is a mathematical error in the calculation of the miles per mile

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# Board Bill Number 71

## Attachment A

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for mileage. The correct total amount is \$2,452.50. These two items need to be corrected broken down, and separated.

#### 4. Contract:

- All contracts three need to be broken down and show complete calculations for all items.
- Evaluator is key personnel and must show name, salary and level of effort and fringe. Each contractor needs to be broken out into all cost categories (fringe, personnel, supplies, and etc. )
- Explain in more detail the set up in data export.

5. Other: Public meetings. Clarification that incentives and snacks are within the threshold amounts. Snacks \$3.00 per person per day. Incentive no more than \$30 per person, per event. Travel vouchers can only be incentives and must be no more than \$30 per person, per event. Cannot give monthly vouchers. The costs must be broken down and cannot be called "transportation vouchers." These are incentives too. They cannot be in excess of \$30 per person, per event.

### **Special Condition of Award for Revised SF424 to reflect the New Name, EIN, DUNS**

By **October 30, 2020**, submit a revised SF424 to reflect the Name, EIN, DUNS as on the letter submitted dated on June 24, 2020 for (City of St. Louis Dept. of Health). This special condition will affect your organization's ability to withdraw funds from the Payment Management System account, until the concerns are addressed.

All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons. For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading How to Respond to Terms and Conditions.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

### **STANDARD TERMS AND CONDITIONS**

#### **Standard Terms for Awards**

Your organization must comply with the Standard Terms and Conditions for the Fiscal Year in which your grant was awarded. The Fiscal Year for your award is identified on Page 2 of your Notice of Award. SAMHSA's Terms and Conditions Webpage is located at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

#### ***Consistent Treatment of Costs.***

Recipients must treat costs consistently across all federal and non-federal grants, projects and cost centers. Recipients may not direct-charge federal grants for costs typically considered indirect in nature, unless done consistently. If part of the indirect cost rate, then it may not also be charged as a direct cost. *Examples of indirect costs include (administrative salaries, rent, accounting fees, utilities, office supplies, etc.).* If typical indirect cost categories are included in the budget as direct costs, it is SAMHSA's understanding that your organization has developed a cost accounting system adequate to justify the direct charges and to avoid an unfair allocation of these costs to the federal government. Also, note that all awards are subject to later review in accordance with the requirements of 45 CFR 75.364, 45 CFR 75.371, 45 CFR 75.386 and 45 CFR Part 75, Subpart F, *Audit Requirements*.

**Compliance with Award Terms and Conditions**

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH 45 CFR 75.3 71, REMEDIES FOR NON-COMPLIANCE AND 45 CFR 75.372 TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

**Annual Federal Financial Report (SF-425)**

By **December 30, 2021**, submit via eRA Commons.

The Federal Financial Report (FFR) (SF-425) is required on an annual basis and should reflect only cumulative actual Federal funds authorized and disbursed, any non-Federal matching funds (if identified in the Funding Opportunity Announcement (FOA)), unliquidated obligations incurred, the unobligated balance of the Federal funds for the award, as well as program income generated during the timeframe covered by the report. Additional guidance to complete the FFR can be found at <http://www.samhsa.gov/grants/grants-management/reporting-requirements>.

FFR reporting must be entered directly into the eRA Commons system. Instructions on how to submit a Federal Financial Report (FFR) via the eRA Commons is available at <https://www.samhsa.gov/sites/default/files/how-to-submit-a-samhsa-ffr.pdf>.

**Annual Programmatic Progress Report**

By **December 30, 2021** submit via eRA Commons.

The Programmatic Report is required on an annual basis and must be submitted as a .pdf to the View Terms Tracking Details page in the eRA Commons System no later than 90 days after the end of each 12-month budget period.

The Annual Programmatic Report must, at a minimum, include the following information:

- o Data and progress for performance measures as reflected in your application regarding goals and evaluation activities.
- o A summary of key program accomplishments to-date.
- o Description of the changes, if any, that were made to the project that differ from the application for this incremental period.
- o Description of any difficulties and/or problems encountered in achieving planned goals and objectives including barriers to accomplishing program objectives, and actions to



# Board Bill Number 71

## Attachment A

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overcome barriers or difficulties.

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data to ensure the effectiveness and efficiency of its programs.

**The response to this term must be submitted as .pdf documents in eRA Commons. Please contact your Government Program Official (GPO) for program specific submission information.**

For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions**.

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

**Staff Contacts:**

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# BOARD BILL NUMBER 71

## FISCAL NOTE

Preparer's Name: Craig Schmid

Phone Number or Email Address (will be available publicly): (314) 657-1528

Bill Sponsor: Alderperson

<b>Bill Synopsis:</b>	This bill would authorize the Department of Health (the "DOH") to accept the grant from the Substance Abuse and Mental Health Services Administration (SAMHSA)(CFDA Number: 93.243)(Award No. 1H79SM080544-01), known as the Law Enforcement and Behavioral Health Partnership: STL CARES (Community Assessment and Referral for Enduring Stability) project, total amount over 5 years of \$1,648,627, to enable early diversion of individuals with Serious Mental Illness (SMI) and Co-Occurring Disorders (COD) from potential arrest using targeted outreach and behavioral health co-response, coupled with law enforcement personnel training in mental health and trauma-informed response, and to expend funds by entering into contracts or otherwise for the grant purposes.
<b>Type of Impact:</b>	Early diversion of individuals with Serious Mental Illness (SMI) and Co-Occurring Disorders (COD) from potential arrest using targeted outreach and behavioral health co-response, coupled with law enforcement personnel training in mental health and trauma-informed response
<b>Agencies Affected:</b>	Department of Health (the "DOH")

### SECTION A

#### Does this bill authorize:

- An expansion of services which entails additional costs beyond that approved in the current adopted city budget? \_X\_ Yes No. (grant covered and in-kind match)
- An undertaking of a new service for which no funding is provided in the current adopted city budget? \_X\_ Yes \_\_\_ No. (behavioral health co-response; grant covered)

- A commitment of city funding in the future under certain specified conditions?  
(in-kind match) \_X\_\_Yes \_\_\_No.
- An issuance of bonds, notes and lease-purchase agreements which may require additional funding beyond that approved in the current adopted city budget? \_\_\_Yes\_X\_No.
- An execution or initiation of an activity as a result of federal or state mandates or requirements? \_\_\_Yes\_X\_No.
- A capital improvement project that increases operating costs over the current adopted city budget? \_\_\_Yes\_X\_No.
- A capital improvement project that requires funding not approved in the current adopted city budget or that will require funding in future years? \_Yes \_X\_No.

**If the answer is yes to any of the above questions, then a fiscal note must be attached to the board bill. Complete Section B of the form below.**

### SECTION B

- Does the bill require the construction of any new physical facilities? \_\_\_Yes X No.
  - If yes, describe the facilities and provide the estimated cost:  


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- Is the bill estimated to have a direct fiscal impact on any city department or office? \_\_Yes X\_No.
  - If yes, explain the impact and the estimated cost:
- Does the bill create a program or administrative subdivision? \_\_\_Yes X No.
  - If yes, then is there a similar existing program or administrative subdivision? \_\_\_Yes\_\_\_No.
  - If yes, explain the how the proposed programs or administrative subdivisions may overlap:  


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- Describe the annual operating, equipment, and maintenance costs that would result from the proposed bill, as well as any funding sources:

Complete the chart below to list the total estimated expenditures required of the City resulting from the proposed board bill and any estimated savings or additional revenue.

<b>Financial Estimate of Impact on General Fund</b>			
<b>Fiscal Impact</b>	<b><u>Year 1 (current)</u></b>	<b><u>Year 2</u></b>	<b><u>Year 3</u></b>
<b>Additional Expenditures</b>	0	0	0
<b>Additional Revenue</b>	0	0	0
<b>Net</b>	0	0	0
<b>Financial Estimate of Impact on Special Funds</b>			
<b>Fiscal Impact</b>	<b><u>Year 1 (current)</u></b>	<b><u>Year 2</u></b>	<b><u>Year 3</u></b>
<b>Additional Expenditures</b>	0	0	0
<b>Additional Revenue</b>	0	0	0
<b>Net</b>	0	0	0

- Describe any assumptions used in preparing this fiscal note:

Acceptance and expenditure of grant funds for the purposes authorized only. 5 year grant with grant amounts as follows from September 30, 2020 to September 29, 2025: year 1: \$329,929; year 2: \$329,960; year 3: \$329,389; year 4: \$329,960; year 5: \$329,389, subject to funding, for a total award of up to \$1,648,627; including an obligation to show local in-kind match amount of up to \$131,955 per year

- List any sources of information (including any City officials, agencies, or departments) used in preparing this fiscal note:
- Have the financial estimates of this bill been verified by the City Budget Division?  
 Yes  No.
  - If yes, by whom? \_\_\_\_\_.