

CONFLICT OF INTEREST DISCLOSURE

Addendum to Community Development Administration For-Sale Housing Application

(to be completed and signed by Developer)

- Attach additional sheets if needed -

PROJECT NAME/ADDRESS: _____

DEVELOPER'S NAME: _____

Is any owner, member, stockholder, partner, officer or director of the Borrower or the Operating Entity, or any member of the immediate family of any such person, an elected or appointed official of the City of St. Louis or an employee of the City of St. Louis, the Community Development Administration (CDA), or the St. Louis Development Corporation? "Immediate family" for purposes of this question includes a person's spouse, parents, grandparents, siblings, and grandchildren, but does not include distant relatives such as cousins, unless those persons live in the same household. "Immediate family" for purposes of this question also includes a significant other or a partner in a domestic relationship.

Yes No

If Yes, list the name of the person, the elected official or employee to whom that person is related, and the relationship between those persons:

Does any person owning more than 20% of the Borrower or Operating Entity buy from, sell, or use the service of any concern in which someone in the company has a significant financial interest?

Yes No

If Yes, please explain:

PROJECT NAME/ADDRESS: _____

DEVELOPER'S NAME: _____

Has any principal or affiliate of the Borrower or Operating Entity ever received previous government financing?

Yes No

If Yes, please provide the following information:

Name of Agency _____

Original Amount: _____

Date of Request: _____ Approved: _____

Outstanding Balance: _____ Status: _____

Does the Borrower or Operating entity, or do the owners or majority stockholders of the Borrower or Operating Entity, own or have a controlling interest in other businesses?

Yes No

If Yes, please provide the names and relationships of the individuals to the businesses and attach a current balance sheet and operating statement for each business identified.

Is the Borrower, Operating Entity or any of their officers involved in or aware of any pending or threatened order, notice, claim, litigation, proceeding or investigation, whether or not covered by insurance, that would materially adversely affect their financial condition or that of the proposed project or materially impair their right to carry on business substantially as now conducted?

Yes No

If Yes, please provide details including the parties involved in the suit and the nature of the claims.

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Has any officer of the Borrower or Operating Entity ever been involved in bankruptcy or insolvency proceedings?

Yes No

If Yes, please provide details:

Have any of the individual owners of the Borrower or Operating Entity ever been charged or convicted of a misdemeanor or a felony?

Yes No

If Yes, please provide details:

Project Developer

By: _____

Date: _____

WARNING: U.S. Criminal Code, Section 1010, Title 18, U.S.C., "Federal Housing Administration transactions provides in part: "Whoever, for the purpose of . . . influencing any way the action of such Administration . . . makes, passes, utters, or publishes any statement, knowing the same to be false . . . shall be fined not more than \$5,000 or imprisoned not more than two years, or both."