

1 **BOARD BILL NO. 123 INTRODUCED BY: PRESIDENT LEWIS REED,**  
2 **ALDERMAN CRAIG SCHMID**  
3

4 An ordinance adopted pursuant to Section 105.483 (11) RSMo., reaffirming the  
5 provisions of Ordinances 62391, 66691 and 67617 establishing a policy for the  
6 disclosure of potential conflicts of interest and substantial interests for certain municipal  
7 officials, and containing an emergency clause.

8 **BE IT ORDAINED BY THE CITY OF ST. LOUIS AS FOLLOWS:**

9 **SECTION ONE.** Declaration of Policy. The proper operation of municipal government  
10 requires that public officials and employees be independent, impartial and responsible to  
11 the people; that government decisions and policy be made in the proper channels of the  
12 governmental structure; that public office not be used for personal gain; and that the  
13 public have confidence in the integrity of its government. In recognition of these goals,  
14 there is hereby established a procedure for disclosure by certain officials and employees  
15 of private financial or other interests in matters affecting the city.

16 **SECTION TWO.** Conflicts of Interest.

17 a. All elected and appointed officials as well as employees of a political subdivision must  
18 comply with section 105.454 of Missouri Revised Statutes on conflicts of interest as well  
19 as any other state law governing official conduct.

20 b. Any member of the board of aldermen who has a "substantial personal or private  
21 interest" in any measure, bill, order or ordinance proposed or pending before such  
22 governing body must disclose that interest to the clerk of the Board and such disclosure  
23 shall be recorded in the Journal of the Board of Aldermen. Substantial personal or private  
24 interest is defined as ownership by the individual, his spouse, or his dependent children,

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1 whether singularly or collectively, directly or indirectly of: (1) 10% or more of any  
2 business entity; or (2) an interest having a value of \$10,000 or more; or (3) the receipt of  
3 a salary, gratuity, or other compensation or remuneration of \$5,000 or more, per year  
4 from any individual, partnership, organization, or association within any calendar year.

5 **SECTION THREE.** Disclosure Reports. Each elected official, the mayor, the supply  
6 commissioner, and the city counselor, and officials or employees authorized to  
7 promulgate or vote on rules and regulations with the force of law shall disclose the  
8 following information by May 1 if any such transactions were engaged in during the  
9 previous calendar year:

10 a. For such person, and all persons within the first degree of consanguinity or  
11 affinity of such person, the date and the identities of the parties to each transaction with a  
12 total value in excess of five hundred dollars, if any, that such person had with the political  
13 subdivision, other than compensation received as an employee or payment of any tax, fee  
14 or penalty due to the political subdivision, and other than transfers for no consideration to  
15 the political subdivision; and

16 b. The date and the identities of the parties to each transaction known to the  
17 person with a total value in excess of five hundred dollars, if any, that any business entity  
18 in which such person had a substantial interest, had with the political subdivision, other  
19 than payment of any tax, fee or penalty due to the political subdivision or transactions  
20 involving payment for providing utility service to the political subdivision, and other than  
21 transfers for no consideration to the political subdivision.

22 c. The mayor and the supply commissioner also shall disclose by May 1 for the  
23 previous calendar year the following information:

1                   1. The name and address of each of the employers of such person from  
2                   whom income of one thousand dollars or more was received during the year  
3                   covered by the statement;

4                   2. The name and address of each sole proprietorship that he owned; the  
5                   name, address and the general nature of the business conducted of each general  
6                   partnership and joint venture in which he was a partner or participant; the name  
7                   and address of each partner or coparticipant for each partnership or joint venture  
8                   unless such names and addresses are filed by the partnership or joint venture with  
9                   the secretary of state; the name, address and general nature of the business  
10                  conducted of any closely held corporation or limited partnership in which the  
11                  person owned ten percent or more of any class of the outstanding stock or limited  
12                  partnership units; and the name of any publicly traded corporation or limited  
13                  partnership that is listed on a regulated stock exchange or automated quotation  
14                  system in which Page 3 of 5. the person owned two percent or more of any class  
15                  of outstanding stock, limited partnership units or other equity interests;

16                  3. The name and address of each corporation for which such person served  
17                  in the capacity of a director, officer or receiver.

18                  **SECTION FOUR.** Filing of Reports. a. The financial interest statements shall be filed at  
19                  the following times, but no person is required to file more than one financial interest  
20                  statement in any calendar year;

21                  1. Every person required to file a financial interest statement shall file the  
22                  statement annually not later than May 1 and the statement shall cover the calendar year  
23                  ending the immediately preceding December 31; provided that any such person may

1 supplement their financial interest statement to report additional interests acquired after  
2 December 31 of the covered year until the date of filing of the financial interest  
3 statement. 2. Each person appointed to an office provided for in Section 3 shall file the  
4 statement within thirty days of such appointment or employment; b. Financial disclosure  
5 reports giving the financial information required in Section 3 shall be filed with the Clerk  
6 of the Board of Aldermen and with the Secretary of State prior to January 1, 1993. After  
7 January 1, 1993, reports shall be filed with the Clerk of the Board of Aldermen and the  
8 Missouri ethics commission. The reports shall be available for public inspection and  
9 copying during normal business hours.

10 **SECTION FIVE.** Filing of Ordinance. The city register shall send a certified copy of  
11 this ordinance to the Secretary of State's office within ten days of its approval.

12 **SECTION SIX.** Effective Date. This ordinance shall be in full force and effect from and  
13 after the date of its passage and approval and shall remain in effect until amended or  
14 repealed by the Board of Aldermen.

15 **SECTION SEVEN.** Emergency Clause. This being an Ordinance necessary for the  
16 immediate preservation of public peace, health and safety, it is hereby declared to be an  
17 emergency measure within the meaning of Sections 19 and 20 of Article IV of the  
18 Charter of the City of St. Louis and therefore this Ordinance shall become effective  
19 immediately upon its passage and approval by the Mayor.